

# Learning from the Impact of the Drug-Diagnostics Strategy in Oncology

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*Disclosures: Jan Trøst Jørgensen has worked as a consultant for Dako, Agilent Technologies and Euro Diagnostica and has given lectures at meetings sponsored by AstraZeneca, Merck Sharp & Dohme, and Roche.*

# Drug-Diagnostics Co-development in Oncology

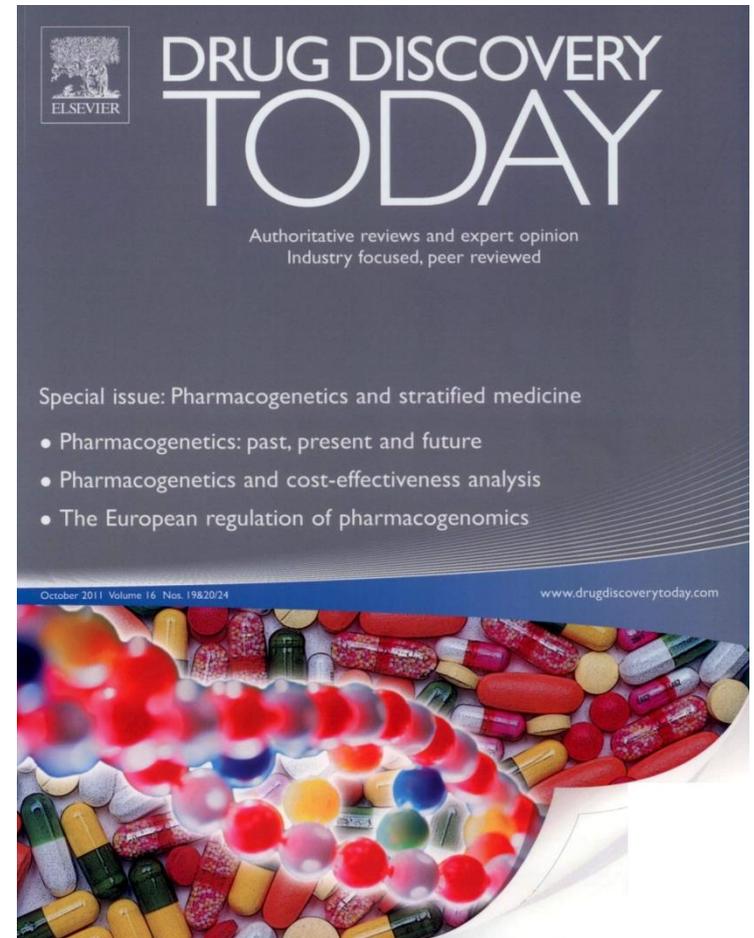
- Introduction and History
- Companion Diagnostics and Assay Technologies
- Drug-Diagnostic Co-development Model
- What can be achieved?
- Summary and Conclusion

# Tuberculosis & Cancer

| <b>Similarities</b> |                                    |
|---------------------|------------------------------------|
|                     | Major global public health concern |
|                     | High unmet medical needs           |
|                     | Drug susceptibility testing        |
|                     | Drug resistance                    |
| <b>Differences</b>  |                                    |
|                     | Etiology and pathophysiology       |
|                     | Global incidences                  |
|                     | Pharmaceutical companies' interest |
|                     | The availability of new drugs      |

# Disease Heterogeneity

“In order to achieve a more effective pharmacotherapy we need to recognize that most diseases are heterogeneous and thus develop drugs accordingly.”<sup>1</sup>



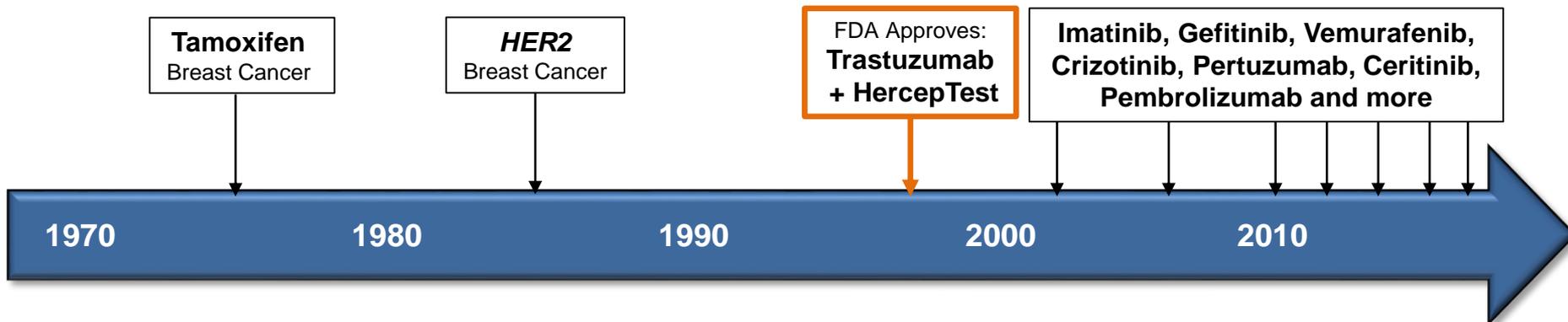
1. Jørgensen JT. A challenging drug development process in the era of personalized medicine. Drug Discov Today 2011;16: 891-897

# Drug-Diagnostic Combinations

## Oncology<sup>1</sup>

“A high degree of correlation between response and positive estrogen-receptor assay suggests the value of the diagnostic test as a means to select patients for tamoxifen treatment”

Lerner HJ et al. Phase II study of tamoxifen: report of 74 patients with stage IV breast cancer. *Cancer Treat Rep.* 60,1431-1435 (1976).



1. Jørgensen JT, Hersom M. Companion Diagnostics - A tool to improve Pharmacotherapy. *Ann Transl Med.* 2016; 4:482.

# Companion Diagnostics (CDx)

US Definition<sup>1</sup>



**A CDx assay is an in vitro diagnostics device that provides information that is essential for the safe and effective use of a corresponding therapeutic product:**

1. Identify patients who are most likely to benefit from the therapeutic product
2. Identify patients likely to be at increased risk as a result of treatment with the therapeutic product risk for serious adverse reactions
3. Monitor response to treatment with the therapeutic product for the purpose of adjusting treatment (e.g., schedule, dose, discontinuation) to achieve improved safety or effectiveness
4. Identify patients in the population for whom the therapeutic product has been adequately studied, and found safe and effective, i.e., there is insufficient information about the safety and effectiveness of the therapeutic product in any other population

1. In Vitro Companion Diagnostic Devices. Guidance Document. FDA, August 6, 2014.  
<http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM262327.pdf>

# Companion Diagnostics in Oncology

## The Current FDA Approved Assay Technologies<sup>1</sup>

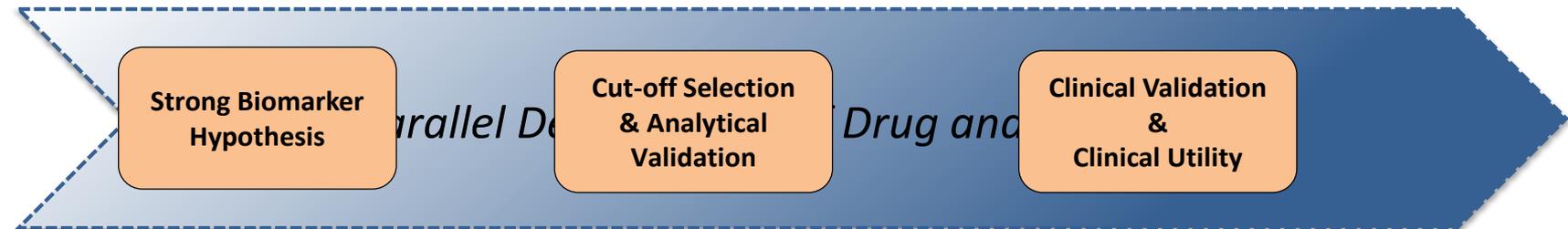
- **Immunohistochemistry (IHC)**
  - HercepTest (Dako/Agilent) – *Drugs: Trastuzumab, Pertuzumab, Ado-trastuzumab emtansine*
  - PD-L1 IHC 22C3 pharmDx (Dako/Agilent) – *Drug: Pembrolizumab*
- **In Situ hybridization (FISH/CISH)**
  - PathVysion *HER-2* DNA Probe Kit (Abbott Molecular) – *Drug: Trastuzumab*
  - Vysis *ALK* Break Apart FISH Probe Kit (Abbott Molecular) – *Drug: Crizotinib*
- **Real-time Polymerase Chain Reaction (RT-PCR)**
  - Therascreen EGFR RGQ PCR Kit (Qiagen) – *Drug: Gefitinib*
  - Cobas EGFR Mutation Test v2 (Roche Molecular Diagnostics) – *Drug: Osimertinib*
- **DNA sequencing/Next-Generation Sequencing (NGS)**
  - FoundationFocus CDxBRCA Assay (Foundation Medicine) – *Drug: Rucaparib*

1. US FDA. List of Cleared or Approved Companion Diagnostic Devices (In Vitro and Imaging Tools). Updated: December 22, 2016. (<http://www.fda.gov/MedicalDevices/ProductsandMedicalProcedures/InVitroDiagnostics/ucm301431.htm>).

# Drug-Diagnostic Codevelopment

## Phase I to III Clinical Development<sup>1,2</sup>

### Drug Development

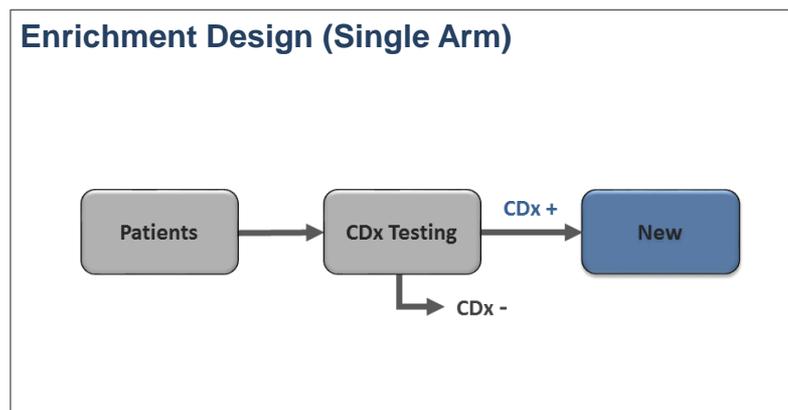
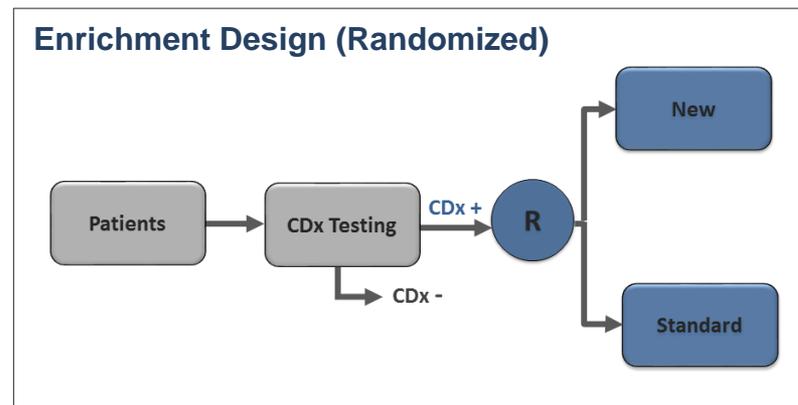
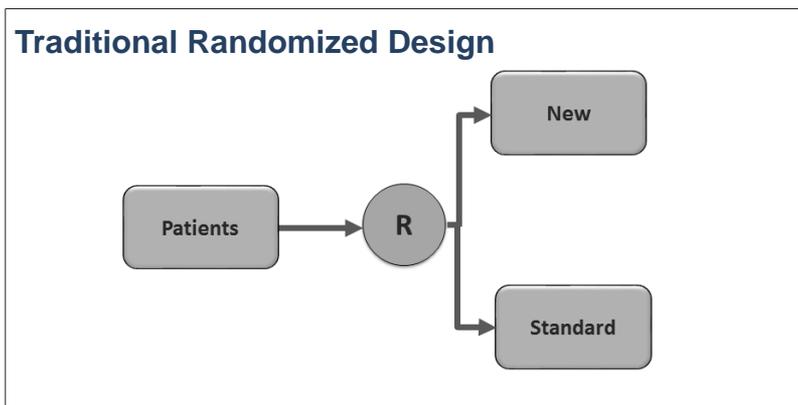


### CDx Development

1. Olsen D, Jørgensen JT. Companion diagnostics for targeted cancer drugs - clinical and regulatory aspects. *Front Oncol* 2014; 4: 105.
2. US FDA. Principles for Codevelopment of an In Vitro Companion Diagnostic Device with a Therapeutic Product. Draft Guidance, July 15, 2016. (<http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM510824.pdf>)

# Drug-Diagnostic Co-development

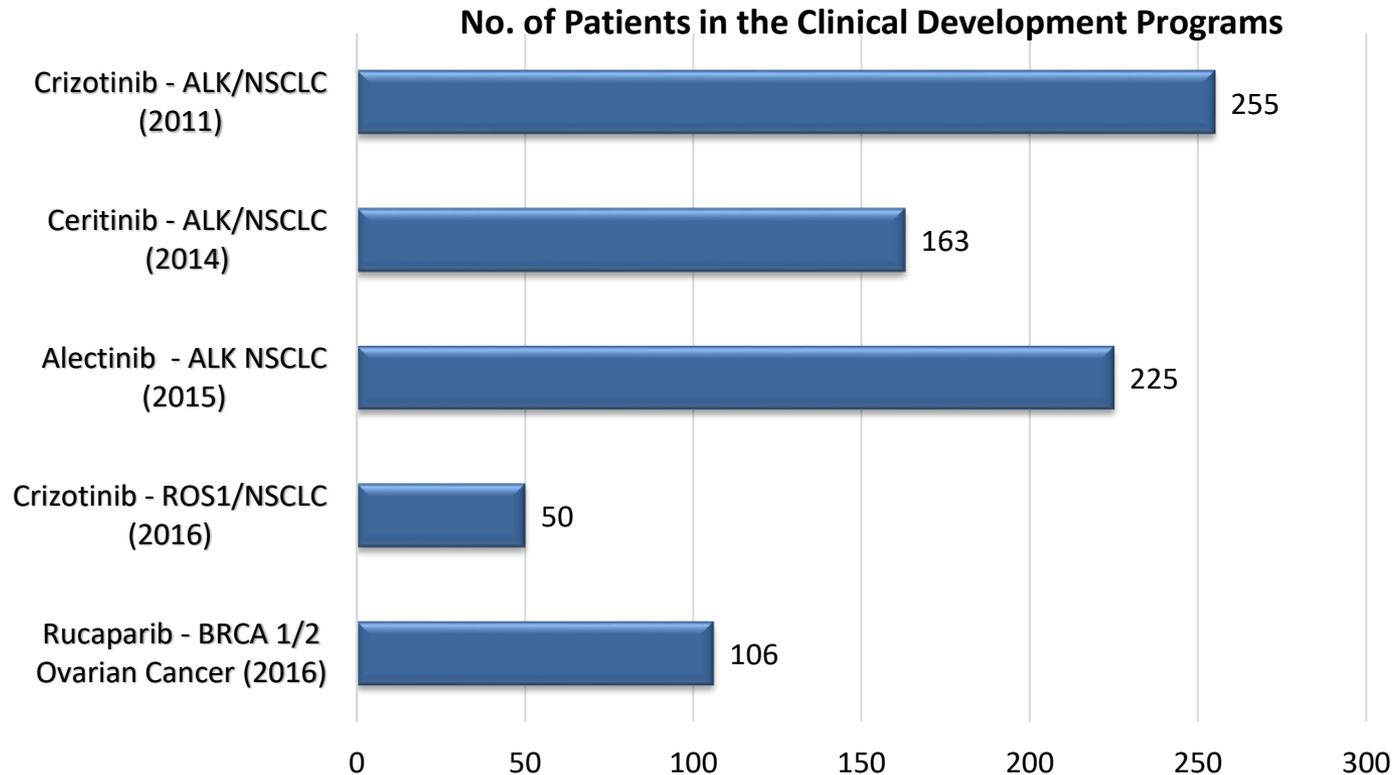
## Enrichment Design<sup>1,2</sup>



1. US FDA. Principles for Codevelopment of an In Vitro Companion Diagnostic Device with a Therapeutic Product. Draft Guidance, July 15, 2016. (<http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM510824.pdf>)
2. Jørgensen JT. Companion Diagnostics and Clinical Utility in Oncology - Current Status and Future Aspects. *Oncology* 2013; 85: 59-68.

# Drug-Diagnostic Combinations

Regulatory Approval – Efficacy Data<sup>1,2,3,4</sup>



1. Gandhi S, Chen H, Zhao Y, et al. First-line treatment of advanced ALK-positive non-small lung cancer. *Lung Cancer: Targets and Therapy* 2015; 6: 71-82.
2. McKeage K. Alectinib: a review of its use in advanced ALK-rearranged non-small cell lung cancer. *Drugs* 2015 ; 75: 75-82.
3. Swisher EM, Lin KK, Oza AM, et al. *Lancet Oncol*. Rucaparib in relapsed, platinum-sensitive high-grade ovarian carcinoma (ARIEL2 Part 1): an international, multicentre, open-label, phase 2 trial. 2017; 18: 75-87.
4. Jørgensen JT. The importance of predictive biomarkers in oncology drug development. *Expert Rev Mol Diagn*. 2016;16: 807-809.

# Drug-Diagnostic Combinations

## Objective Response Rates – Oncology<sup>1,2</sup>

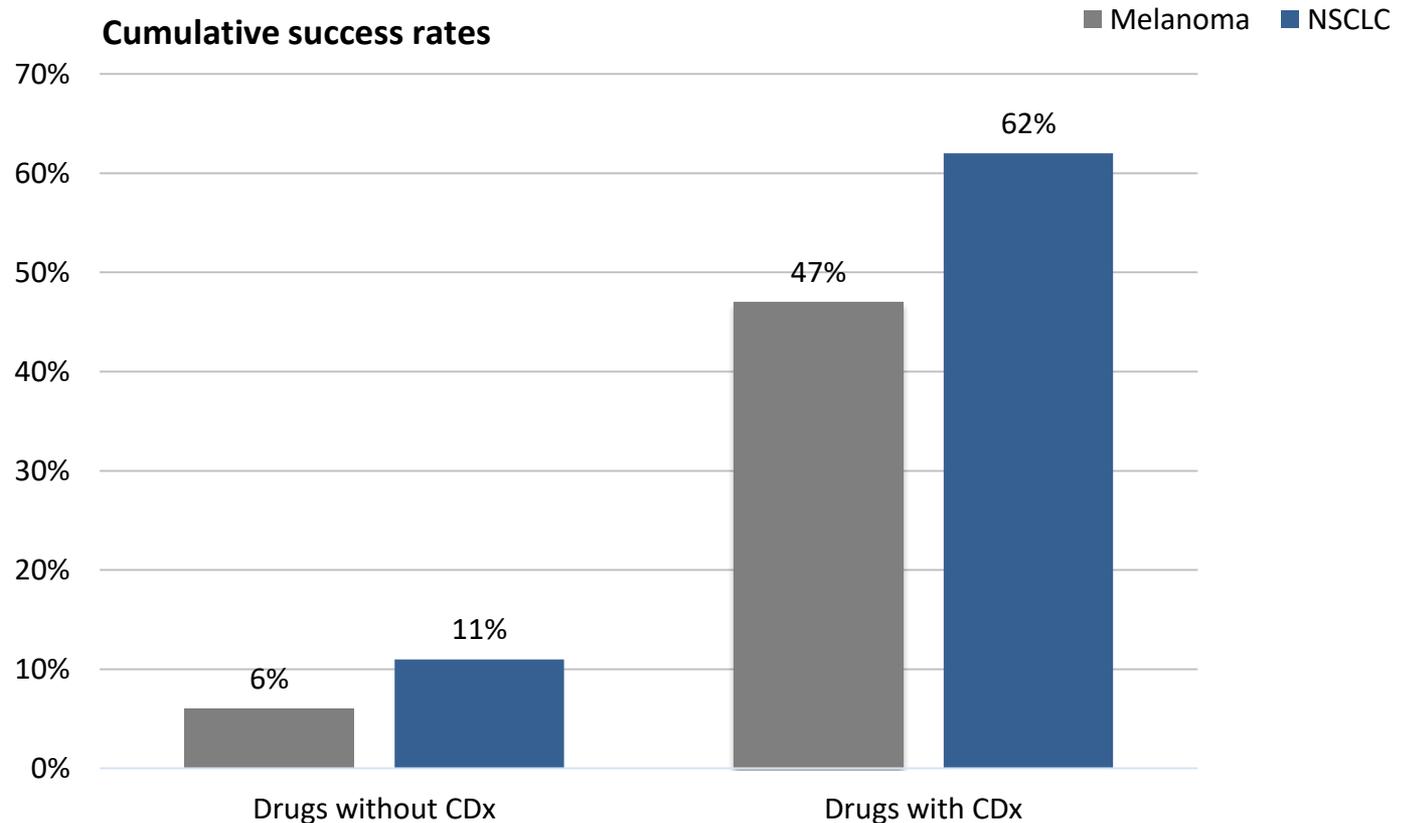
Table 1 Objective response rates for anticancer drugs with and without a CDx assay linked to their use<sup>a,b</sup>

| Drug  | Indication                     | CDx Assay(s)                                  | Platform | Response rate |
|---|--------------------------------|---|----------|---------------|
| Pertuzumab (Perjeta)                          | Breast cancer (HER2+)          | HercepTest (Dako)/HER2 IQFISH pharmDx (Dako)  | IHC/FISH | 80.2%         |
| Crizotinib (Xalkori)                          | NSCLC (ALK+)                   | Vysis ALK Break Apart FISH probe kit (Abbott) | FISH     | 65.0%         |
| Erlotinib (Tarceva)                           | NSCLC (EGFR+)                  | Cobas EGFR mutation test (Roche)              | PCR      | 65.0%         |
| Cetuximab (Erbix)                             | Colorectal cancer (EGFR+/KRAS) | EGFR pharmDx (Dako)/KRAS RGQ PCR kit (Qiagen) | IHC/PCR  | 57.0%         |
| Ceritinib (Zykadia)                           | NSCLC (ALK+)                   | Vysis ALK Break Apart FISH probe kit (Abbott) | FISH     | 54.6%         |
| Imatinib Mesylate (Gleevec)                   | GIST (CD117+)                  | c-Kit pharmDx (Dako)                          | IHC      | 53.9%         |
| Dabrafenib (Tafinlar)                         | Melanoma (BRAF+)               | ThxID BRAF kit (BioMérieux)                   | PCR      | 52.0%         |
| Afatinib (Gilotrif)                           | NSCLC (EGFR+)                  | EGFR RGQ PCR kit (Qiagen)                     | PCR      | 50.4%         |
| Vemurafenib (Zelboraf)                        | Melanoma (BRAF+)               | Cobas 4800 BRAF V600 mutation test (Roche)    | PCR      | 48.4%         |
| Ado-trastuzumab emtansine (Kadcyla)           | Breast cancer (HER2+)          | HercepTest (Dako)/HER2 IQFISH pharmDx (Dako)  | IHC/FISH | 43.6%         |
| Olaparib (Lynparza)                           | Ovarian cancer (BRCA+)         | BRACAnalysis CDx (Myriad)                     | PCR      | 34.0%         |
| Bevacizumab (Avastin)                         | Colorectal cancer              | No CDx  | –        | 45.0%         |
| Ixabepilone (Ixempra)                         | Breast cancer                  | No CDx  | –        | 34.7%         |
| Paclitaxel protein-bound particles (Abraxane) | NSCLC                          | No CDx  | –        | 33.0%         |
| Pemetrexed (Alimta)                           | NSCLC                          | No CDx  | –        | 27.1%         |
| Pembrolizumab (Keytruda)                      | Melanoma                       | No CDx  | –        | 24.0%         |
| Ziv-aflibercept (Zaltrap)                     | Colorectal cancer              | No CDx  | –        | 19.8%         |
| Cabazitaxel (Jevtana)                         | Prostate cancer                | No CDx  | –        | 14.4%         |
| Sorafenib (Nexavar)                           | Thyroid carcinoma              | No CDx  | –        | 12.0%         |
| Eribulin mesylate (Halaven)                   | Breast cancer                  | No CDx  | –        | 11.0%         |
| Ipilimumab (Yervoy)                           | Melanoma                       | No CDx  | –        | 10.9%         |
| Sunitinib malate (Sutent)                     | GIST                           | No CDx  | –        | 6.8%          |

1. Jørgensen JT. Clinical application of companion diagnostics. Trends Mol Med. 2015; 21: 405-7.
2. Drugs@FDA: FDA Approved Drug Products. (<http://www.accessdata.fda.gov/scripts/cder/drugsatfda/>).

# Success Rates in Oncology Drug Development

## Melanoma and NSCLC<sup>1,2,3</sup>



1. Rubinger D.A., Hollmann S.S., Serdetchnaia V. et al.. Biomarker use is associated with reduced clinical trial failure risk in metastatic melanoma. *Biomark Med* 2015; 9: 13-23.
2. Falconi A., Lopes G., Parker J.L. Biomarkers and receptor targeted therapies reduce clinical trial risk in non-small-cell lung cancer. *J Thorac Oncol* 2014; 9: 163-169.
3. Jørgensen JT. The importance of predictive biomarkers in oncology drug development. *Expert Rev Mol Diagn.* 2016;16: 807-809.

# Cost of Drug Development

## Traditional vs Drug-Diagnostic Strategy<sup>1,2</sup>

### The \$2.6 Billion Pill — Methodologic and Policy Considerations

Jerry Avorn, M.D.

The introduction of several new astonishingly expensive prescription drugs has rekindled debate over the origins of and justifications for those prices. At a press conference in Boston last November, the

Tufts Center for the Study of Drug Development announced it had calculated that it costs pharmaceutical companies \$2.6 billion to develop a new drug<sup>1</sup> — up from the \$802 million the Center estimated in 2005. Because the new findings were presented at a media event that offered limited information regarding the methods used to arrive at this figure, it is difficult to know much about the solidity of the approach or the validity of the reported number. Before the findings could appear in the peer-reviewed literature, the figure was catapulted into the midst of the current hot debate about the pricing of many new drugs.<sup>2</sup>

Since the figure's release, it has been used to justify the cost of several expensive medications and to support longer periods of marketing exclusivity for new drug products. These arguments are based on the proposition that drug companies (which are major supporters of the Tufts center) must be helped to recoup the huge capital needs required to discover the cures of tomorrow.

The methods used to generate the \$2.6 billion figure will require careful scrutiny once they are available for detailed review. The analysis was based on data that 10 unnamed drug makers provided on 106 unnamed investigational compounds that they had "self-

originated." The raw numbers on which the analysis is based are not available for transparent review — and are likely never to be divulged. The study included both products that made it to market and a much larger number that did not — a fair approach, since a balanced assessment would have to take into account the costs of failures as well as successes. But because we cannot know which compounds were studied, it is hard to evaluate the key assumption that more than 80% of new compounds are abandoned at some point during their development — a key driver of the findings.

Notably, as in the Center's previous estimates, nearly half the cost of drug development was accounted for not by research expenditures but by the cost of capital. The analysts justified that assumption by noting that during the years a company spends develop-

### Biomarkers and Receptor Targeted Therapies Reduce Clinical Trial Risk in Non-Small-Cell Lung Cancer

Adam Falconi, BSc, Pharm, \* Gilberto Lopes, MD, MBA, †‡ and Jayson L. Parker, PhD, MBA§

Using our clinical trial cost estimations and clinical trial data, we estimated the cost of crizotinib approval to be somewhere between 500 and 600 million USD, which is less than a third of our cost determination for NSCLC compounds overall. This estimation illustrates the tremendous cost-reduction potential for biomarker targeted NSCLC strategies.

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1. Avorn J. The \$2.6 billion pill-methodologic and policy considerations. *N Engl J Med*. 2015; 372:1877-9.
2. Falconi A., Lopes G., Parker J.L. Biomarkers and receptor targeted therapies reduce clinical trial risk in non-small-cell lung cancer. *J Thorac Oncol* 2014; 9: 163-169.

# Summary and Conclusion

- Today 20 anticancer drugs have a CDx linked to their use
- Key requirements for CDx assay development:
  - Strong biomarker hypothesis
  - Analytical validity
  - Clinical validated and demonstrated clinical utility
- The drug-diagnostic co-development model:
  - Increased drug efficacy in the target population
  - Increased development success rate
  - Reduction of cost and time
- Can the drug-diagnostic strategy used in oncology be translated into other disease areas?

## Companion diagnostics—a tool to improve pharmacotherapy

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**Abstract:** The variability of pharmacotherapy can be of a significant magnitude, and the main reason for this is often diseases heterogeneity. Patients who have similar diagnoses very often respond differently to the same pharmacological intervention, with great variability in both efficacy and safety outcome. Despite having discussed personalized medicine for more than a decade, we still see that most drug prescriptions for severe chronic diseases are largely based on 'trial and error' and not on solid biomarker data. However, with the advance of molecular diagnostics and a subsequent increased understanding of disease mechanisms, things are slowly changing. Within the last few years, we have seen an increasing number of predictive biomarker assays being developed to guide the use of targeted cancer drugs. This type of assay is called companion diagnostics and is developed in parallel to the drug using the drug-diagnostic co-development model. The development of companion diagnostics is a relatively new discipline and in this review, different aspects will be discussed including clinical and regulatory issues. Furthermore, examples of drugs, such as the ALK and PD-1/PD-L1 inhibitors, that have been approved recently together with a companion or complementary diagnostic will be given.

**Keywords:** Companion diagnostics; complementary diagnostics; PD-L1; ALK; EGFR; HER2; personalized medicine

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## Introduction

Over the years, several publications have drawn our attention to the variability of pharmacotherapy, which in many cases can be of a significant magnitude (1-3). The main contributor to this variability is diseases heterogeneity, and patients who have similar diagnoses very often respond differently to the same pharmacological intervention, with great variability in both efficacy and safety outcome. Despite having discussed personalized medicine for more than a decade, we still see that most drug prescriptions are largely based on 'trial and error' and not on solid biomarker data (1,4,5). For serious chronic diseases, such an approach can have unfortunate medical consequences for the individual patients. However, with the advance of molecular diagnostics and subsequently an increased understanding

of disease mechanisms, things are slowly changing. Within the last few years, we have seen an increasing number of predictive biomarker assays being developed to guide the use of targeted cancer drugs. This type of assay is called companion diagnostics and is most often developed in parallel to the drug using the drug-diagnostic co-development model (6). For a number of these drugs, companion diagnostics have taken up a central role in the development process, and the success of this type of targeted therapy largely depends on the performance of these assays.

At the recent 4<sup>th</sup> Joint Congress of the International Federation of Clinical Chemistry and Laboratory Medicine (IFCC) and the European Union of Medical Specialists (UEMS) in Warsaw, Poland, the first author of this article gave a plenary lecture entitled "Clinical Application

Trends in Cancer

CellPress

Opinion  
Companion and Complementary Diagnostics: Clinical and Regulatory PerspectivesJan Trøst Jørgensen<sup>1,\*</sup>

Nearly 20 years ago, the US Food and Drug Administration (FDA) approved the first companion diagnostic assay and, today, this type of test governs the use of 18 different drugs. With the appearance of PD-L1 immunohistochemistry (IHC) assays linked to the use of different PD-1/PD-L1 immune checkpoint inhibitors, a new class of predictive biomarker assays has emerged; the complementary diagnostics. These are predictive biomarker assays that aid the therapeutic decision process but are not a prerequisite for receiving a specific drug, as is the case with companion diagnostics. Both types of assay have the individual patient as a point of reference and they will be decisive for the move toward a more individualized pharmacotherapy. They are also considered important elements in the realization of precision medicine. Here, I discuss both companion and complementary diagnostics.

## Predictive Biomarker Assays

For decades, we have known that the response to a pharmacological intervention varies from patient to patient; however, it is often difficult to explain this variation and to predict who might be the responders [1]. Nevertheless, with the advance of molecular medicine and, subsequently, the increased understanding of disease mechanisms, things are slowly changing. Within the past couple of decades, we have seen an increasing number of predictive biomarker assays being developed using the drug-diagnostic co-development model. For several cancer drugs, these assays have taken a central role in the development process, and the success of this type of targeted drug largely depends on the performance of the assays. According to the recent personalized medicine survey performed by Tufts Center for the Study of Drug Development, 60% of the surveyed pharmaceutical company cancer drug pipelines rely on biomarker data during the late clinical phases [2]. These predictive biomarker assays have the individual patient as a point of reference and they will be decisive for the move toward a more individualized anticancer therapy; in addition, they are considered important elements in the realization of precision medicine [1,3,4]. The predictive biomarker assays linked to specific drugs have been named 'companion diagnostics', and more recently, we have seen the name 'complementary diagnostics' also being used. Here, I discuss both types of assay in relation to their clinical application as well as the current regulatory frame that governs their development and use in the USA and Europe.

## Historical Aspects

Looking at the history of drug-diagnostic co-development, the first time we saw molecular testing becoming an integrated part of the drug development process was during the early 1990s. Here,

## Trends

An increasing number of cancer drugs will have a companion diagnostic linked to their use to aid the therapeutic decision process.

Companion diagnostics are predictive biomarker assays that are linked to the use of a specific drug, most often developed in parallel to the drug using the drug-diagnostic co-development model.

With the recent regulatory approval of PD-1/PD-L1 immune checkpoint inhibitors for different cancer indications, a new class of predictive biomarker assays has emerged: complementary diagnostics.

There have been recent debates as to the role of complementary diagnostics and how to distinguish them from other predictive biomarker assays, such as pharmacogenomic tests and companion diagnostics.



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# “A bad tumor biomarker test is as bad as a bad drug”

Current president of ASCO, Daniel F. Hayes<sup>1</sup>

1. Hayes D., Raison C. Lessons for tumor biomarker trials: vicious cycles, scientific method & developing guidelines. *Expert Rev Mol Diagn* 2015; 15:165-169.